



CONTINENTAL  
STOCK TRANSFER & TRUST

# Notes

## FROM THE CHAIRMAN

FALL 2020 NEWSLETTER

INDEPENDENT SPIRIT • RELENTLESS DEDICATION

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Steve Nelson  
President & Chairman

Connect with me directly  
at 212.845.3201



*...I just wanted to take a minute to let you all know that I think you are an excellent team. Without exception, every time in the last year I have asked any question of any of you, you have been more than helpful. And you have provided that help almost immediately. Thank you...*

– John McAnnar,  
Vice President and  
General Counsel -  
HireQuest, Inc.

## Letter from the Chairman

I write to you now in what continues to be unusual and challenging times. We have all faced the current national health emergency created by the COVID-19 pandemic and, of course, that brings enormous challenges to every aspect of our business and personal lives this year. Insofar as Continental is concerned, I have reported to our customers regularly with regard to many steps we at Continental have taken relating to the COVID-19 pandemic and its effects on our business and yours. It is not my intention here to repeat all of the many steps we have taken since triggering our Pandemic Plan on March 4, 2020. However, the key point is that we have continued to service all of our customers and their shareholders without any interruption or diminution in our services from March 4 to today. This is attributable to our advance planning and testing our Pandemic Plan and Business Continuity Plan over the past four years. This planning has allowed us to stay open throughout while functioning mostly on a remote basis.

*Kudos to our incredible managers and employees who continue to work for our customers 24 hours per day, 7 days per week under the most difficult circumstances. I cannot tell you how proud I am of their commitment and excellence.*

Additionally, in response to the challenges posed by the pandemic for this year's annual meeting season, we were able to develop and roll out on an expedited basis an extremely robust and effective virtual meeting platform. Our leadership group, including especially Bob Zubrycki, Director of Mail and Proxy Services, is what has allowed our Proxy and Mail Services to operate throughout and to effectively create and execute on our virtual meeting offerings. We have already enabled more than 80 of our customers to successfully hold annual or special meetings using our proprietary virtual meeting platform in a seamless and effective fashion. We expect to have successfully handled more than 100 virtual meetings by the end of 2020. Our customers have been glowing in their commendations of Bob and his staff as well as the easy-to-use platform itself which we developed from scratch on an emergency basis this year.

In this regard it is noteworthy that legacy Broadridge, which has handled broker mail and distribution as well as street proxy tabulation for many years, has not fared as well with their virtual meeting product. We have heard from existing customers as well as former customers who have tried to utilize Broadridge's virtual meeting offerings that their platform and performance quite simply disappoint. Net result: Continental now offers a proprietary virtual meeting platform that outperforms the competition. Those who are interested in testing and/or utilizing the virtual meeting platform should reach out to their administrators as soon as possible to make sure that we can handle your scheduling needs.

## 2020 Business Conditions So Far

As I reported to you previously, 2019 was a record year for Continental across all business lines. In terms of revenues, income and net income we achieved record results and far surpassed the all prior years in every way. Our core stock transfer business continued to grow in a meaningful way, particularly in the community and regional bank space.

2020 has already blown past our record 2019 in every meaningful metric. In the SPAC arena, Continental continues to dominate. In fact, going back to the second half of 2019 and thus far into 2020, Continental has achieved a 98% market share in acting as Transfer Agent and Trustee on US SPAC IPOs. Indeed, recent market reports indicate that SPACs have constituted more than 50% of the 2020 IPO market, and Continental has handled virtually all of them!!! We have a pipeline of over 100 SPAC IPOs ready to go shortly, which will only serve to increase our IPO market share going forward. While SPACs were for many years almost an incidental part of the IPO market, they are now quite obviously a core component. To date in 2020 alone, Continental has handled more than 200 SPAC IPOs – astounding when you consider that we handled 52 in our record 2019! Back-end business combinations likewise have accelerated, and the completion rate for SPACs is currently around 90% – a far cry from the early years of SPACs when more deals failed than succeeded. All told Continental has now successfully handled more than 200 SPAC business combinations with most recent deals having enterprise values in the billions of dollars, and some even exceeding 10 billion. By year-end 2020, Continental will have handled more than 500 SPAC IPOs since 2003 – something nobody ever dreamed of when the Millstream I SPAC originated that year.

While the COVID-19 outbreak and its effects on business and the markets have been severe to say the least, the only noticeable effect on the SPAC IPO

market has been a one month pause from mid-March to mid-April. This is a testament to the resilience and strength of the SPAC product in that it offers investors tremendous optionality so that they can invest and get their money back if they so choose, or alternatively rely on the business expertise of proven sponsor groups to identify and operate attractive businesses. With over 150 completed SPAC IPOs currently seeking business combination targets, it will be interesting to see if they can all find worthy targets. Bottom line: SPACs continue to proliferate at an unprecedented rate and we have expanded to meet that challenge. Our SPAC leaders Fran Wolf and Mark Zimkind as well as our accomplished Account Administration team have done a tremendous job in handling all the complexities of both the SPAC IPO process and the business combination/ redemption process. Our success in this ever-expanding space is a testament to our unique proprietary platform developed over more than 15 years and to our dedicated team as well.

As you may expect, our Corporate Actions and Paying Agency businesses have slowed during the pandemic crisis. While we still are executing on many transactions, the volume has surely dropped off. We anticipate that mergers and acquisitions business will pick up toward the end of 2020 and into 2021 as both public issuers and private equity firms are expecting to find attractive targets emerging from this challenging environment.

## Industry Update

In my last newsletter piece, I highlighted my continuing role on the Executive Committee of the Securities Transfer Association (STA) relative to industry initiatives and interfacing with the Commissioners and staff of the SEC. While we were making significant progress on the serious issues surrounding proxy plumbing, as you might imagine that progress has seemingly stalled during the pandemic. Issuers continue to be dogged both in terms of excessive fees charged by intermediaries, including Broadridge, and

*(Continued on page 4)*

(Letter continued from page 3)

the lack of integrity in the proxy voting system. Issuers simply do not get to communicate directly with their beneficial holders under the current system, and over-voting and a lack of pre-mailing reconciliation severely undermine the integrity of the voting system.

The STA continues to work with the SEC and Commissioner Roisman in trying to break this logjam which has existed for over 20 years. To move things forward, we met with the staff of Corporate Finance, as well as the Chairman's office. Both were very receptive to our positions on behalf of Issuers and our industry, although the immediate future is uncertain. We will keep you apprised of developments as they occur.

During the COVID emergency, I and the Executive Committee of the STA have met a number of times with the staff of Trading and Markets seeking exemptive relief for Transfer Agents who may be challenged under existing conditions in meeting

SEC processing rules; and we also urged the Commission to provide issuers with exemptive relief allowing them to postpone and adjourn their annual meetings without fear of SEC sanctions. Thankfully, we were able to obtain exemptive relief for both transfer agents and issuers with the much appreciated help of the Trading and Markets staff.

*In conclusion, let me reiterate how much we appreciate all the support we have received from our customers as we face the nemesis of Covid. I remain confident that we will all successfully meet the great challenges posed by continuing to pull together.*

***We at Continental wish you all a wonderful holiday season. Hug your loved ones a little tighter this year!***

Please note, after serving more than three and a half years, SEC Chairman Clayton announced his intention to resign at the end of the year.

## CNEWS

### HOW TO GET ON OUR MAILING LIST



Sign up for our "CNews" electronic newsletter! CNews offers updates on Continental's most recent news, insights, events, and more.

**To sign up, go to: <https://continentalstock.com/top-menu/cnews/>**

**Follow us on Social Media**



## DID YOU KNOW...

Continental Customer Care "CCC" is an invaluable team within our organization. Our shareholder relations personnel are employees of Continental and the team provides customer care from our 1 State Street headquarters. During business hours, shareholder investors are greeted by an engaged CCC individual to address questions and obtain information on their account and transactions.

We would like to acknowledge record customer care phone calls and email volumes during October. The CCC team successfully engaged and managed three times the volume as a typical month. The volume represents Continental's new issuer relationships in 2020 in addition to corporate event activity driving inquiries during a specific timeframe.

## Get to Know Our Team

**Donald Gress,**  
*Vice President and  
Chief Information Security Officer*



As our Chief Information Security & Data Protection Officer, Don monitors our Cyber Security Policy

execution, all Internet related procedures, and is the liaison between all department managers and our Information Technology staff. He chairs our Cyber Security Management & Strategic Planning Committee, and organizes on-line courses for employee training programs encompassing the proper handling of email, internet decorum and safeguarding of personal identifying information that is retained in our shareholder recordkeeping platform.

Before graduating from Rutgers University, Don was drafted into the U.S. Army during the Vietnam Era and served overseas. After his honorable discharge in 1967, he joined Continental as a clerical trainee and messenger. With over 50 years in the transfer agent industry, Don has been exposed to and absorbed detailed knowledge of all operational and regulatory issues, as well as all transfer agent functions, audit and risk related responsibilities.

While formerly serving as our COO, he was a Chairman of the Securities Transfer Association's Operations Committee for multiple years. Don is currently an active member of several industry-wide organizations and committees.

**Nick Giancaspro,**  
*Vice President and Manager of  
File Integrity Security Operations*

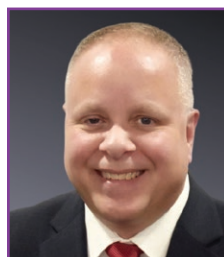


Nick joined Continental Stock Transfer & Trust Company in 2015 and is responsible for managing

Dividend Processing, Client Report Processing, Tax Reporting, Account Maintenance, TranStar Template Management and TS Partners Liaison. Nick is also a member of Continental's Conversion Team and Cyber Security Team.

Nick has over 25 years of industry experience and is a member of the Securities Transfer Association and the Shareholder Services Association. Nick is an active participant of the STA Operations Committee and has participated on various other committees including the Direct Registration Guidelines Committee, Cost Basis Committee and Conversions Guidelines Committee. In addition, Nick is also a member of DTCC's Joint Industry Working Group and has been a member of the Corporate Actions Committee and Paperless Legals Committee. Nick is a graduate of Rutgers University.

**Douglas Reed,**  
*Vice President of  
Account Administration*



Doug Reed has nearly 35 years of experience in the Transfer Agent industry. Over the course of his career, he has performed

both operational and administrative roles, including Chief of Operations, Regulatory Compliance, Issuer and Securityholder Tax Reporting, Issuing Agent, Reconciliation, and Vendor Management. Doug is familiar with various types of securities, including common, preferred, and convertible stocks, corporate and municipal debt, money market instruments, and depositary receipts. Prior to his position at Continental Stock Transfer, Doug spent time at Morgan Guaranty Trust Company, the Bank of New York, Citibank, and Broadridge Corporate Issuer Solutions. Doug has been active in Industry groups, such as the Securities Transfer Association where he acted as chairman of both the Operations and Debt committees. He holds a BA in business administration from William Paterson University and an MBA in Finance, with a concentration in Banking, from the Lubin School of Business at Pace University.



*I just wanted to let you know that the virtual annual meeting was a great success. Rich Andrews did an amazing job walking us through the process. It was a pleasure!*

– Carol Houle, Executive Vice President, CFO – The Provident Bank

## CROSS BORDER TRANSFER AGENCY – CONTINENTAL’S ROLE

*Continental offers cross border agency services to assist the primary transfer agent in the facilitation of stock related transfers on behalf of a public issuer. Continental serves in the capacity of co-agent or dual agent for 100 public companies. For our Canadian relationships, Continental has partnered with TSX Trust, the largest Canadian-owned transfer agent but can also be appointed co-agent by issuers utilizing other Canadian transfer agents.*

Along with appointing a co-agent, the issuer will work with a DTC participant to sponsor the application process to allow the securities to be DTC eligible. Once eligibility is approved, benefits may include: eliminating the need for paper certificates, the securities trade more efficiently, experience lower cost affiliated with a trade, and the potential of increased stock trading volume. Many United States broker dealers prohibit trading in securities that are not DTC eligible. In addition, DTC eligibility reduces the cost of trading significantly, both for institutions and investors.

### What is a Co-Agent?

A co-agent is a record keeping entity selected by an issuer that partners with a primary agent to process stock transactions that occur within the United States. The co-agent’s role includes accepting and transferring certificates or book entry shares and sending daily activity records to the primary transfer agent. A co-agent does not maintain security holder records, but they are used to facilitate the transfer of stock in the United States.

### What is a Dual-Listed Transfer Agent?

A dual-listed transfer agent partners with another transfer agent in an alternative country to enable trading of equity shares on more than one market. An issuer may choose to have multiple listings to enhance share liquidity and increase its public profile for investors and employees. Dual listing enables the issuer to diversify its capital raising activities, rather than being reliant only on its domestic market.

### What is DTCC:

The Depository Trust & Clearing Company (“DTCC”) is a central securities depository that facilitates trading in the United States by enabling securities to be distributed, settle and service through an automated process. A large portion of stock held in street name is held by DTCC in electronic or “book” form, registered under the nominee name of CEDE & CO. DTCC provides banks and brokerage firms with an efficient means of moving securities and electronically settling trades.



*I wanted to take a moment to recognize your fabulous team at Continental. Yesterday we completed our 1st Virtual Annual Meeting. From start to finish, they were there to handle all our requests and needs. Mark and his team from printing, to Maribel's daily emails with totals. Bob Zubrycki with his calm demeanor. Luther, with all his assistance with the DTC. Dan Murphy at EZOnline was my knight in shining armor when last-minute adjustments needed to be made. And then, of course, I can not forget my incredible rep, Bernie. She is a gem and has become a friend to me, as many of the people listed above have as well.*

*You should be very proud of your team, and please know that The Victory Bank is above pleased with the level of service and professionalism that our clients and I receive every time we call Continental. Thank you!*

– Kelly Taylor, Executive Assistant, Investor Relations – The Victory Bank

# VIRTUAL SHAREHOLDER MEETING (VSM)

*Continental Stock Transfer & Trust Company (CSTT) is dedicated to providing our issuers with the means and ability to achieve their goal of executing a safe and successful Shareholder meeting.*

**CSTT's VSM provides all elements of an in-person meeting without all of the logistical concerns of an in-person meeting:**

- Fully secure, safe and effective platform
- Hosting and voting options
- Elimination of travel and cost of organizing in-person meetings
- Multiple presenter availability
- Issuer customization
- Slideshow hosting
- Attendee authentication

Our platform utilizes a dedicated, secure CSTT managed URL to ensure the safety and confidentiality of your meeting. Verified shareholders have the ability to listen to the meeting through the VSM site or through a designated listen-only dial-in number.

Questions from shareholders can be submitted through the VSM site and/or an issuer designated email address. Shareholders can vote prior to the meeting or at the time the polls are open. A summary of shareholders registered for the meeting, as well as questions submitted, is provided to the company.

Playback recordings are available within 24 hours of the meeting and fully accessible for up to 12 months. VSM is not just for proxy/annual meetings; it can be used for periodic virtual investor calls as well. Continental provided annual meeting services to 400 clients Spring 2020 and facilitated 100 virtual shareholder meetings throughout 2020.

**For more information regarding CSTT's Virtual Shareholder Meeting services, please visit:**

<https://www.cstproxy.com/faq/vsmfaq-service.html> OR

visit our website at [www.continentalstock.com](http://www.continentalstock.com)

*Products & Services: Shareholder Meeting & Proxy Services' for a broad overview and contact information.*



**“ ”** *George Dalton, who is our principal contact at Continental Stock Transfer & Trust, has done great work for us. I can assure you that you are in good hands. I'd like to express my sincere gratitude to George and Continental for all of your help so far.*

– Craig Kussman, CFO - Organovo Holdings, Inc.

## LATEST NEWS HIGHLIGHTS

### Welcome New Talent/Employees

Continental Stock Transfer & Trust is pleased to employ dedicated professionals to contribute value to our organization and ensure quality service to our customers. We welcomed the following team members so far in 2020:

Douglas Reed – *Account Administration*

Kruti Patel – *Account Administration*

Leicia Savinetti – *Account Administration*

Elaine Williams – *Corporate Actions*

Karen Lazar – *Corporate Actions*

Richard Cabrera – *Corporate Actions*

Stephanie Johnson – *Executive Assistant*

Catherine Contes – *Proxy*

Brian Murphy – *SPAC & Escrow*

Melvin Guzman – *SPAC & Escrow*

Arthur Ascalon – *Technology*



### Safety During A Pandemic

The safety of our employees is our number one priority. And just as important is our commitment to our clients to provide excellent service during this uncertain time. We have taken a number of measures to allow employees to work from our NYC headquarters.

***In order to maintain social distancing, we operate at up to 20% capacity in the office and provide masks for our employees to wear at all times.***



### SIFMA Global Corporate Actions Forum

Continental was a proud sponsor of the 17th Annual SIFMA Global Corporate Actions Forum in early October. Our SVP & Director of Shareholder Services, Mark Zimkind, was a virtual conference speaker for the topic of SPACs and Transfer Agent initiatives.



### Securities Transfer Association Virtual Conference

Continental proudly sponsored the STA Virtual Conference on October 27-29. The STA provided a virtual conference speaking auditorium and exhibition hall, where Continental was able to display a booth with information and facilitate a live chat room.

## DIVIDEND REINVESTMENT AND DIRECT STOCK PURCHASE PLANS

Dividend Reinvestment Plans (“DRIPs”) is a great tool for investors who want long-term investments with possible discounted prices and for issuers in that they offer low-cost access to capital.



Continental offers DRIP services for different types of plans; i.e., Company sponsored, Bank sponsored or DRIPs associated with Direct Stock Purchase Plans (“DSPPs”) and Employee Stock Purchase Plans (“ESPPs”).

Continental uses an independent, registered broker to facilitate all buys and sells, **but will also use a broker that is preferred by the issuer**. As there may be buy/sell restrictions during blackout periods, Continental has the mechanics to put these rules in place as appropriate.

An ESPP allows participating employees of an issuer to buy shares also at a possible discount. Employees contribute to the Plan through payroll deductions.

The funds are then used to purchase shares on behalf of the employees and held electronically, including fractional shares. DRIPs and DSPPs often have min/max investment requirements, broker fees and tax reporting requirements.

Our Dividend Reinvestment Department expertly manages all these features for many different companies. Investor enrollment is fairly easy and includes enrollment and investment options through our online portal, *ContinentalLink*. If you are considering adopting a plan, or want more information on how DRIPs work, please contact your Account Manager.

## WHO IS SOVOS KEANE?

In July 2020, global tax software provider, Sovos, acquired Keane’s unclaimed property consulting services and reporting technology. Keane has been an industry partner of Continental for many years to ensure compliance for our issuer clients.

Sovos Keane is the leading provider of unclaimed property communications, compliance and consulting services in the country. From customized communication programs and data analysis, to in-depth unclaimed property services, state unclaimed property reporting, escheat consulting and annual compliance reporting, Sovos Keane Unclaimed Property provides corporations, mutual

funds, banks, brokerages, insurance companies and transfer agents with a breadth of services.

Sovos Keane helps clients reduce costs and protect shareholders while navigating the complexities of unclaimed property regulations.

Even before the pandemic, states increasingly viewed unclaimed property as a key revenue driver and audit target. States have been expanding the types of abandoned property subject to regulation beyond traditional cash assets. States are also reducing dormancy periods and leveraging third parties to conduct multi-state audits. These and other

changing obligations present challenges for businesses, particularly those operating and reporting in multiple states.

The merger of these two well regarded companies further strengthens our partnered service solutions for our clients. Please note, Keane charges a fee for their asset recovery services. However, it is noted on their communication that the shareholder still has the option of contacting Continental without incurring further fees.

For more information, please visit [www.keaneunclaimedproperty.com](http://www.keaneunclaimedproperty.com) or connect with your Account Manager.

# NEW TRANSFER AGENT CUSTOMERS

## June 2020-November 2020

Ace Convergence Acquisition Corp – DE	Dragoneer Growth Opportunity – CA
ACON S2 Acquisition Corp – D.C.	Dun & Bradstreet Holdings, Inc. – NJ
Akido Pharma, Inc. – NY	E.Merge Technology Acquisition Corp. – CA
Alpha Healthcare Acquisition – NY	East Resources Acquisition Company – FL
American Virtual Cloud Technologies, Inc. – GA	Equity Distribution Acquisition Corp – IL
ArcLight Clean Air Transition – MA	Excellon Resources, Inc. – CA
Arizona Metals Corp. – Canada	Executive Network Partnering Corporation – MA
Artius Acquisition, Inc. – NY	Falcon Capital Acquisition – NY
ARYA Sciences Acquisition Corp. III – NY	Fast Acquisition Corp. – NY
ARYA Sciences Acquisition II – NY	Fathom Holdings, Inc. – NC
Ascendant Digital Acquisition Corp. – NY	Fintech Acquisition Corp IV – PA
Aspirational Consumer Lifestyle Corp. – Singapore	First Home Bancorp, Inc. – FL
B.Riley Principal Merger Corp. II – VA	Foley Transimene Acquisition Corp – NV
BCTG Acquisition Corp. – CA	Foley Trasmene Acquisition Corp. II – NV
Bowx Acquisition Corp – CA	Fortress Value Acquisition Corp – NY
Brilliant Acquisition Corp. – China	Fortress Value Acquisition Corp. II – NY
Broadstone Acquisition – England	Forum Merger III Corporation – FL
Burgundy Technology Acquisition Corp – Jersey	FS Development Corp. – CA
Capstar Special Purpose Acquisition Corp. – TX	FTAC Olympus Acquisition Corp. – PA
CC Neuberger Principal Holdings, I – NY	Fusion Acquisition – NY
CC Neuberger Principle Holdings – NY	G.S. Acquisition Holdings Corp. II – NY
CF Finance Acquisition Corp. II – NY	GAN, Ltd – Bermuda
Chardan Healthcare Acquisition 2 Corp – NY	GigCapital3 – CA
Churchill Capital Corp. IV – NY	Global Blue Group Holdings – Switzerland
Climate Change Crisis Real Impact I Acquisition – NJ	GO Acquisition Corp – NY
CM Life Sciences – NY	Greencity Acquisition Corporation – Cayman Islands
Cohn Robbins Holdings Corp. – DE	Hall of Fame Resort & Entertainment, Inc. – OH
Collective Growth Corporation – TX	Health Sciences Acquisition Corp 2 – NY
Colonnade Acquisition Corp. – FL	Highcape Capital Acquisition Corp. – NY
Cortland Bancorp. Inc – OH	HighPeak Energy, Inc. – TX
D8 Holdings Corp – China	Holicy, Inc. – WA
Deerfield Healthcare Technology Acquisition Corp. – NY	Horizon Acquisition Corp – CT
Digital Media Solutions, Inc. – FL	HPX Corp – DE

## NEW TRANSFER AGENT CUSTOMERS (CONTINUED)

### June 2020-November 2020 (Continued)

Hudson Executive Investment Corp. – NY	Prime Impact Acquisition I – CA
Hycroft Mining Holding Corporation – CO	Property Solutions Acquisition Corp. – NY
Immatics N.V. – Germany	Psomagen, Inc. – MD
Industrial Tech Acquisition Corp – TX	PTK Acquisition Corp. – CA
Inhibrx, Inc. – CA	Redball Acquisition Corp. – NY
INSU Acquisition Corp II – PA	Reinvent Technology Partners – NY
Jason Holdings, Inc. – WI	Ribbit LEAP, Inc. – CA
Jaws Acquisition – FL	Sandbridge Acquisition Corp – CA
Kensington Capital Acquisition Corporation – NY	Scienjoy Holding Corp – China
Kismet Acquisition One Corp. – BVI	Scopus Biopharma Inc. – NY
Lida Resources, Inc. – Canada	Social Capital Hedosophia Holdings Corp II – CA
Lionheart Acquisition – NY	Social Capital Hedosophia Principal Holdings III – CA
Live Oak Acquisition Corp. – VA	Software Acquisition Corp II – NV
Longview Acquisition Corp. – NY	Sprott, Inc – Canada
Roth CH Acquisition I Co. – NY	Star Peak Energy Transition Corp. – IL
Malacca Straits Acquisition Company Limited – China	Starboard Value Acquisition Corp. – NY
Medicenna Therapeutics Corp – Canada	Sustainable Opportunities Acquisition Corp. – TX
Mesquite Energy Inc. – TX	Sweet Earth Holding – Canada
Mountain Crest Acquisition Corp – NY	Sweet Earth Holdings Corporation – Canada
Nano X Imaging LTD – Israel	Tailwind Acquisition Corp. – CA
Navios Maritime Containers, L.P. – Monaco	Talisker Resources, Ltd – Canada
Newhold Investment Corp – TX	Therapeutics Acquisition Corp. – MA
Nikola Corporation – AZ	Third Coast Bancshares, Inc – TX
North Mountain Merger Corp – NY	Tortoise Acquisition Corp. II – KS
Northern Genesis Acquisition Corp. – MO	Trebia Acquisition Corp – NY
Novus Capital Corporation – IN	UTZ Brands, Inc. – PA
Oaktree Acquisition Corp. II – CA	Vector Acquisition Corporation – CA
One – CA	Velodyne Lidar, Inc. – CA
Panacea Acquisition – CA	Vistas Media Acquisition Company – NY
Peridot Acquisition Corp. – TX	VPC Impact Acquisition Holdings – IL
Pershing Square Tontine Holdings, Ltd – NY	Whole Earth Brands, Inc – IL
PMV Consumer Acquisition Corp. – FL	Yucaipa Acquisition Corp – CA
PopReach Corp – Canada	



**CONTINENTAL**  
STOCK TRANSFER & TRUST

# Virtual Shareholder Meeting Solutions

**STAYING CONNECTED TO YOUR SHAREHOLDERS IS PARAMOUNT.**

Continental's Virtual Shareholder Meeting Service provides all the elements of an in person meeting without the logistical concerns.

OUR EXPERIENCED  
PROFESSIONALS ARE DRIVEN TO  
ESTABLISH TAILORED, RELEVANT  
SOLUTIONS AND PROVIDE  
RELENTLESS SUPPORT.

**VIRTUAL**

**INSPECTOR  
OF  
ELECTION**

## **OUR VSM SOLUTION:**

- Fully secure, safe & effective platform
- Hosting & voting options
- Shareholder mailing coordination
- Multiple presenters availability
- Issuer customization
- Slideshow hosting
- Attendee authentication
- Voting review & tabulation
- Reporting & so much more.

**CONNECT WITH US TODAY. CONTACT KARRI VAN DELL 212.845.3224**

**Continental Stock Transfer & Trust is**

SEC Registered Transfer Agent | New York State Chartered Trust Company  
25M Blanket Bond | DTCC Custodian Transfer Agent

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